```
? show files; ds
File
       9:Business & Industry(R) Jul/1994-2007/Aug 13
         (c) 2007 The Gale Group
      13:BAMP 2007/Jul W5
         (c) 2007 The Gale Group
      15:ABI/Inform(R) 1971-2007/Aug 17
         (c) 2007 ProQuest Info&Learning
      16:Gale Group PROMT(R) 1990-2007/Aug 16
         (c) 2007 The Gale Group
      47: Gale Group Magazine DB(TM) 1959-2007/Aug 06
         (c) 2007 The Gale group
File
      75:TGG Management Contents(R) 86-2007/Jul W5
         (c) 2007 The Gale Group
      80:TGG Aerospace/Def.Mkts(R) 1982-2007/Aug 10
File
         (c) 2007 The Gale Group
     88:Gale Group Business A.R.T.S. 1976-2007/Aug 09
         (c) 2007 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2007/Aug 14
         (c)2007 The Gale Group
File 180: Federal Register 1985-2007/Aug 14
         (c) 2007 format only DIALOG
File 239:Mathsci 1940-2007/Sep
         (c) 2007 American Mathematical Society
File 258:AP News Jul 2000-2007/Aug 17
         (c) 2007 Associated Press
File 264:DIALOG Defense Newsletters 1989-2007/Aug 16
         (c) 2007 Dialog
File 267: Finance & Banking Newsletters 2007/Aug 13
         (c) 2007 Dialog
File 340:CLAIMS(R)/US Patent 1950-07/Aug 14
         (c) 2007 IFI/CLAIMS(R)
File 349:PCT FULLTEXT 1979-2007/UB=20070809UT=20070802
         (c) 2007 WIPO/Thomson
File 351:Derwent WPI 1963-2007/UD=200752
         (c) 2007 The Thomson Corporation
File 471: New York Times Fulltext 1980-2007/Aug 14
         (c) 2007 The New York Times
File 476: Financial Times Fulltext 1982-2007/Aug 16
         (c) 2007 Financial Times Ltd
File 483: Newspaper Abs Daily 1986-2007/Aug 16 .
         (c) 2007 ProQuest Info&Learning
File 484: Periodical Abs Plustext 1986-2007/Jul W5
         (c) 2007 ProQuest
File 485:Accounting & Tax DB 1971-2007/Aug W2
         (c) 2007 ProQuest Info&Learning
File 553: Wilson Bus. Abs. 1982-2007/Aug
         (c) 2007 The HW Wilson Co
File 564:ICC Brit.Co.Ann.Rpts 1984-2004/Jun 22
         (c) 2004 ICC Online Inform. Group
File 587: Jane's Defense & Aerospace 2007/Aug Wl.
         (c) 2007 Jane's Information Group
File 589:FI Defense Market Intelligence 2007/Jul 11
         (c) 2007 Forecast Intl/DMS
File 608:KR/T Bus.News. 1992-2007/Aug 17
         (c)2007 Knight Ridder/Tribune Bus News
File 609:Bridge World Markets 2000-2001/Oct 01
         (c) 2001 Bridge
File 613:PR Newswire 1999-2007/Aug 17
         (c) 2007 PR Newswire Association Inc
File 614:AFP English Wire 1999-2007/Aug 17
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(c) 2007 Agence France Press

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File 618:Xinhua News 1999-2007/Aug 17
         (c) 2007 Xinhua News via Comtex
File 619: Asia Intelligence Wire 1995-2007/Aug 16
         (c) 2007 Fin. Times Ltd
File 620:EIU: Viewswire 2007/Aug 15
         (c) 2007 Economist Intelligence Unit
File 623: Business Week 1985-2007/Aug 17
         (c) 2007 The McGraw-Hill Companies Inc
File 624:McGraw-Hill Publications 1985-2007/Aug 17
         (c) 2007 McGraw-Hill Co. Inc
File 627:EIU: Country Analysis 2007/Aug 15
         (c) 2007 Economist Intelligence Unit
File 628:Ctry Risk & Forecasts 2007/Aug 15
         (c) 2007 Economist Intelligence Unit
File 636: Gale Group Newsletter DB(TM) 1987-2007/Aug 16
         (c) 2007 The Gale Group
File 637: Journal of Commerce 1986-2007/Aug 29
         (c) 2007 Commonwealth Bus. Media
File 654:US PAT.FULL. 1976-2007/AUG 16
         (c) Format only 2007 Dialog
File 660: Federal News Service 1991-2002/Jul 02
         (c) 2002 Federal News Service
File 696:DIALOG Telecom. Newsletters 1995-2007/Aug 16
         (c) 2007 Dialog
File 701:St Paul Pioneer Pr Apr 1988-2006/Sep 24
         (c) 2007 St Paul Pioneer Press
Set
        Items
                Description
S1
                (ORDER) () (BOOK? ? OR LEDGER? ?) (3S) (FRAUD? OR CRIMINAL OR -
           18
             ILLEGAL OR ILLEGITIMATE?) (3S) (TAG? OR FLAG? OR MARK OR MARKS -
             OR MARKING OR INDICATOR?) (3S) (SECURITIES OR INVESTMENT OR STO-
             CK? ? OR FINANCIAL OR PORTFOLIO) NOT PY>2003
S2
               RD (unique items)
```

? t2/3, k/all

2/3,K/1 (Item 1 from file: 9)

DIALOG(R) File 9:Business & Industry(R) (c) 2007 The Gale Group. All rts. reserv.

03207674 Supplier Number: 110528136 (USE FORMAT 7 OR 9 FOR FULLTEXT) Indosat whips up strong Asian support for \$300m debut.
(Asia Pacific Capital Markets)

Euroweek, n 827, p 18

October 31, 2003

DOCUMENT TYPE: Journal ISSN: 0952-7036 (United Kingdom)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1018

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

But as the **order book** built up the joint bookrunners were able to ratchet the final pricing down to the...

...over the past week due to bad news in Russia and Colombia, and the Indonesian **fraud** scandal surrounding Bank Negara Indonesia (BNI) that broke on Wednesday. Two senior bank officials have...
...that they forged letters of credit.

"At the end of the day the secondary performance marks a deal's success and for this to move only by a quarter to half...

...bankers said they expect them to be at a very low level for a non-investment grade deal. This indicates that the high yield market in Asia is suffering from the...

2/3,K/2 (Item 1 from file: 16)

DIALOG(R) File 16:Gale Group PROMT(R) (c) 2007 The Gale Group. All rts. reserv.

09826964 Supplier Number: 87015174 (USE FORMAT 7 FOR FULLTEXT) Electronic security systems to cross \$18.5 billion by 2006.

Research Studies-Business Communications, Inc., p1(3)

May 28, 2002

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; Trade

Word Count: 937

... are covered in this report. Companies in this segment have experienced large increases in their **order *books** since the terrorist attacks in the U.S. on September 11, 2001. Detection is also...

...is made of advanced technologies.

Electronic article surveillance (EAS) is typified by, for example, the **tag** that triggers an alarm when a shoplifter attempts to take a stolen article from a...

...EAS is of great interest to commercial security engineers and managers of retail outlets. The **tags** are being made to become more efficient with

advanced technologies. The vogue now is for source tagging , a method which incorporates the tags during the production of the goods, so that when they are delivered to the store, they already carry their tags and will set off alarms if removed inappropriately from the store, either by internal staff or by external fraudsters .

Acoustic monitoring is an important security area, especially in the elimination of fraud in telecommunications and other voice-operated systems. It is a relatively new area that experienced...

...product segment that still has potential for growth in areas such as banking and other financial operations.

This solid growth forecast through 2006 is indicative of the current importance attached to electronic security, which provides the systems and tools for combating commercial fraud . In a normal commercial environment, fraud accounts for the loss of multibillions of dollars. Electronic security also provides systems for combating...

2/3,K/3 (Item 2 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

(c) 2007 The Gale Group. All rts. reserv.

02017217 Supplier Number: 42591490 (USE FORMAT 7 FOR FULLTEXT)

Facing the challenges of exchanges

American Metal Market, p14

Dec 13, 1991

Language: English Record Type: Fulltext Document Type: Magazine/Journal; Tabloid; Trade

Word Count: 1308

more importantly, it has challenged us all to re-examine the interface between futures and securities safes person registration requirements.

But I believe exchanges need to take an even broader view...

...volume and open interest. For example, large order executions systems, "sunshine trading" and electronic limit order books could be studied as adjuncts to the open outcry system.

Don't automatically assume these...

(Item 1 from file: 258) 2/3,K/4

DIALOG(R) File 258:AP News Jul

(c) 2007 Associated Press. All rts. reserv.

01390342 (USE FORMAT 7 FOR FULLTEXT)

AP Top Sports Headlines At 4 p.m. EST

Associated Press

Thursday, March 7, 2002 16:02 EST JOURNAL CODE: AP LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 2,167

...17:18:35 TRAVEL NEWS-no utilities mentioned.

17:20:32 TRAILER

17:21:27 FINANCIAL NEWS WITH ADAM KIRTLEY HALIFAX HOUSE PRICE SURVEY-first-time buyers are being priced out...

Ginger R. DeMille ...TO END CONTRACTS OF ITS COACHES IN SHOCK MOVE-it's been caused by serious financial crisis. INTERVIEW: MARK AIZWILL, SWANSEA CITY-I am not surprised by this decision as the club is in... ... ABOVE THE LAW-THE LAW SOCIETY rejected SIR JOHN STEVENS' statements. INTERVIEW: PHIL HUTTON, CARDIFF CRIMINAL LAWYER. 17:30:59 WEATHER-no sponsor. 17:34:21 NEWS RACIST JAILED FOR ATTACK... 2/3, K/5(Item 1 from file: 349) DIALOG(R) File 349:PCT FULLTEXT (c) 2007 WIPO/Thomson. All rts. reserv. 00899532 **Image available** METHODS AND APPARATUS FOR FORMULATION, INITIAL PUBLIC OR PRIVATE OFFERING, AND SECONDARY MARKET TRADING OF RISK MANAGEMENT CONTRACTS PROCEDES ET SYSTEME POUR LA FORMULATION DE PREMIERES OFFRES PUBLIQUES OU PRIVEES ET LA NEGOCIATION DE MARCHE SECONDAIRE POUR DES CONTRATS DE GESTION DE RISQUES Patent Applicant/Assignee: PARETO PARTNERS LTD, 7 Thistle, Portola Valley, CA 94028, US, US (Residence), US (Nationality) Inventor(s): NAFEH John, 7 Thistle Road, Portola Valley, CA 94028, US, YEE Kenton K, 180 Riverside Boulevard, Apt. 33F at Trump Place, New York, NY 10069, US, Legal Representative: NIXON Dale B (et al) (agent), Suite 3400, 717 North Harwood, Dallas, TX 75201, US, Patent and Priority Information (Country, Number, Date): Patent: WO 200233627 A2 20020425 (WO 0233627) Application: WO 2001US32275 20011015 (PCT/WO US0132275) Priority Application: US 2000240903 20001017; US 2001284051 20010416; US 2001923035 20010806 Designated States: (Protection type is "patent" unless otherwise stated - for applications prior to 2004) AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN 19 JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR (OA) BF BJ CF CG CI CM GA GN GO GW ML MR NE SN TD TG (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW (EA) AM AZ BY KG KZ MD RU TJ TM Publication Language: English

Fulltext Availability: Claims

Filing Language: English Fulltext Word Count: 33670

Claim

... on the basis of accepting risks which are common to large numbers of people. As **financial** transactions and our world in general grow more 4 complex, certain types of risk exposures...

17-Aug-07 3 · 03:43 PM

- ...exchange in which the ultimate values of the contracts traded are determined by political events, financial events and economic indicators or other real- 5

 The exclusive purposes of the EEM are teaching and research. Through the EEM as a teaching device, participants learn first-hand about the operation of a financial market. Because they have an added incentive to do so, they often become better informed...
- ...being traded-be that a political race, the earnings per share of a company, the **stock** return of a company, or the exchange rate between a foreign currency and the dollar...
- ...contracts and making final payoffs on these contracts ensures that the IEM does not realize **financial** profits or suffer losses from market transactions. Although the EEM is under the regulatory purview...of the small individual markets. Thus, the platform is able to (i) effectively "complete" the **securities** market for risk management- (ii) act as an umbrella portal where hedgers can go to...earnings before special items" listed in the document (Form 10-K) officially filed with the **Securities** and Exchange Commission. The states which define the possible outcomes in this situation pertain to...earnings before special items" listed in the document (Form 10-K) officially filed with the **Securities** and Exchange Commission. This document is publicly available for download on FreeEdgar.com ostensibly within...
- ...judgement. To pick these payoff criteria, the Market Authority can consult First Call or other **financial** analyst forecast databases (including "whisper number" databases). No matter, the Market Authority preferably reserves the...
- ...Earnings Announcement Contracts
 Suppose an investor, Pete, holds a \$100,000 long position in AOL stock.
 While Pete is optimistic about AOL long term, Pete is concerned about short term volatility in the AOL stock price. (Perhaps Pete manages a hedge fund, and short term volatility would scare away his...
- ...5 earnings announcement, and Pete is worried that if AOL does not beat expectations, AOL **stock** will fall. In particular, Pete believes his unhedged AOL **portfolio** would be (a) \$125@000 if I st Qtr earnings exceeds \$0.41 cents; (b...
- ...AOL beats, meets, or fails to meet earnings expectations, the value of Pete's hedged **portfolio** is locked in at \$1,00,000 after the earnings announcement.

 To put some numbers on...
- ...a total upfront payment of \$25,000. VvThile the expected value of the Pete's **portfolio** is \$100,000, without hedging the realized value after the earnings announcement could be anywhere...
- ...a high of \$125,000 to a low of \$85,000. So Pete's unhedged portfolio is potentially volatile. 5 However, with hedging, after the earnings announcement, Pete's portfolio net the \$25,000 upfront payment would be:
 Initial Value Of Upfront Changeln Payoff Of Net Final Value Pete's Portfolio Payment For B Home Value Contract Of Pete's & C Contracts Portfolio Portfolio 100@000 -25@000 +252000 0 1007000 1007000 -25@ 000 -57000 +30,000 1007000

100po...

- ...event, Pete's B & C contracts are worthless, but Pete recovers his original \$25,000 investment for the B & C contracts because the value of his AOL stock increases by \$25,000. The ...beat expectations. If AOL meets or fails to meet earnings expectations, Pete recovers his initial investment in the contracts plus the drop in value of his portfolio, because the B & C contracts pay off in the exact compensating amounts. Therefore, once hedged, Pete is guaranteed a riskless outcome of \$100,000 after netting out the original upfront investment.

 What happens if the Market Authority made a mistake and issued contracts based on wrong...
- ...contracts D and E, and almost \$10 for contract F. Can Pete still hedge his **portfolio** with {D,E,F}? Unfortunately, not. Contracts D and E are useless to Pete, because all the volatility in Pete's **portfolio** occurs in the earnings range of \$0 \$0 Contracts {D,E,F} cannot distinguish 30...
- ...Pete, was an AOL investor. We do not want to leave the impression that only **financial** market investors could use AOL earnings to hedge risks. Indeed, anybody with AOL-related business...
- ...s unhedged business risk is potentially volatile.
 With hedging, after the earnings announcement, Sysco's portfolio net the
 - 1 5 \$250,000 upfront payment would be:

Initial Value Of Upfront Change...

...Of Net Final Value

Sysco's Payment For B Home Value Contract Of Sysco's Portfolio & C Contracts Portfolio Portfolio 12000;000 -250,000 1 +250@000 0 1 13000,000 lpo3ooo -250@000 -50...

...AOL beats, meets, or fails to meet earnings expectations, the value of Sysco's hedged **portfolio** is locked in at \$1,000,000 after the earnings announcement. As Sysco, all of...s unhedged business risk is potentially volatile.

With hedging, after the earnings announcement, Widco's portfolio net the

\$24,250 upfront payment would be:

- 35

Initial Value Of Upfront Changeln Payoff...

- ...For B- Value Of Contracts In Of Widco's
 Receivable E Contracts Widco's Hedge **Portfolio**Receivable
 - lp07000 -242250 50@000 0 1)0257750
 - 12000@000 -243250 253000 25@000 1...
- ...Widco's receivables is changes in value, but the value of the Widco's hedge portfolio of contracts exactly compensates for Widco's initial investment of \$24,250 plus the change in value of the receivables. In summary, no matter if the Fed raises or lowers interest rates, the value of Widco's hedged receivables portfolio is locked in at \$1,025,750-the ex ante expected value of its receivables portfolio.

 Comments about Use of Hedging Recipe:

Even without hedging, given the implied probabilities, the ex...has an unexpected plans change and cannot go? It is too much trouble (if not illegal) to try to scalp the ticket. On the other hand, if the customer waits until...McDonald's@ and Quarter Poundero, are registered trademarks

and Super Sizee is a registered service mark of McDonald's Corporation.)
McDonald's benefits from using these coupons in at least three...

- ...a fair market value that floats with demand. (Note: Holiday Inn! is a registered service mark of Holiday Inns, Inc.)

 Customers do not like to put down money for "guaranteed" rooms...55

 Relative to Type 111 contracts, a Market Authority would act as a firm's investment banker, and help the firm design, market, and sell (IPO) its hedging instruments into the...inflows of investor cash). These wide swings in cash force mutual funds to alter their investment behavior. When they have a cash shortage, they are forced to sell shares. When there...
- ... Y, and Z. A, B, and C and X, Y, and Z could reduce their **financial** volatility by pooling their risks so that, regardless of whether an earthquake occurs or not...
- ... Egghead-Onsale has more than \$ 1 0 million dollars cash as reported on its audited **financial** statements for year-end 2002, and \$0 otherwise. Contract II which pays \$1 0 if...
- ...Onsale has between \$0 and \$1 0 million dollars cash as reported on its audited **financial** statements for year-end 2002, and \$10 otherwise. I 0 . Contract III which pays \$10 if Egghead-Onsale has less than \$0 cash as reported on its audited **financial** statements for year-end 2002, and \$0 otherwise.

In the simplest design, a Market Authority...

- ...Market Authority acting 65 in accordance with the present invention can help Sun sell its **portfolio** for \$850 million cash.

 Economic Benefits of Tradable Firm-Specific and Intra-Industry Contracts Using...maintain a complete list of all bid and offer orders on a particular contract (the **order book**). The orders in these **order books** will be prioritized first by price and second by the time the 79 order was...
- ...order is not better than or equal to the best contra order listed in the ${\tt order}$ ${\tt book}$, then the new order will be added to the

order book in a unique position reflecting its price and time
priority
information;

- 0 If the price...
- ...new order is better than or equal to the best contra order listed in the order book , then the new order will be matched against that contra order at the price of...
- ...the remainder of the new order with lower priority contra orders in 1 5 the **order book** until the quantity of the new order has been satisfied or

there are no orders...

- ... Any remaining quantity after all possible executions have been generated will be placed into the **order book** in the position reflecting its price and time order placed priority. Each order matching subsystem...
- ...is matched, it cannot be amended or canceled. However, if it is still in the **order book**, the order matching subsystem will accept cancellation

money right now?" said Lewis Sperber, managing partner...

...2 percent less than what you bought it for, or buy G.M.'s preferred stock, which gives you a yield of 8.8 percent. Which would you rather do?"

Consumers Continue to Fret

Though the **stock** market is rallying, it has done little so far to lift the spirits of the...

...Since consumers account for two-thirds of all economic activity, their mood is a good **indicator** of future strength or weakness. "Clearly, an appreciable improvement in the consumer's spirits is...

...From Commerce

The Government peers ahead with the aid of its index of leading economic indicators . Among other things, it measures hours worked, building permits issued and changes in unfilled manufacturing...

...without an advance. "The economy truly is weak," said Daniel Laufenberg of I.D.S. Financial Services in Minneapolis. No spark, the index indicates, before the second quarter.

There is at...

...produces in abundance. "With the latest reduction there will be a substantial impact on corporate investment," said Susumu Nozaki, an economist at Tokai Bank in Tokyo.

In Singapore, a Gum Ban...

...of stepping in the stuff. So last week a law went into effect making it illegal to "import, sell and manufacture chewing gum," said Hock-Seng Chen, a First Secretary of...

...as many as 2,500 employees, was "silly," said David Glatstein, president of the Dallas investment company Barre & Company, who is leading the creditors' group. Zales has \$850 million in debt...

...price cutting at the chain. But investors did not seem to mind. Toys 'R' Us stock jumped \$2 a share on the announcement to 34 3/4 and closed the week...

...Parretti had reneged on the agreement. Though Mr. Parretti still owns most of MGM's stock, he has been forced to gede all control to the bank.

Last week the Seaman...

2/3,K/7 (Item 2 from file: 471)

DIALOG(R) File 471: New York Times Fulltext (c) 2007 The New York Times. All rts. reserv.

02298808 NYT Sequence Number: 743577911208 (USE FORMAT 7 FOR FULLTEXT)

Business Diary/December 1-6

Joel Kurtzman

New York Times, Late Edition - Final ED, COL 3, P 2

Sunday December 8 1991

DOCUMENT TYPE: Newspaper; Summary LANGUAGE: English RECORD TYPE:

Fulltext SECTION HEADING: SECT3

Word Count: 1722

- Federal bankruptcy law, and Kevin Maxwell vowed to keep the newspaper alive. Britain's Serious Fraud Office began investigating charges last week that Robert Maxwell illegally drained up to \$765 million
- ...windows, but Tokyo's investors are not a happy lot. The Nikkei index of 225 **stocks** fell more than 1 percent on a single day last week and since March has...
- ... The Bank, as it is called, is the second-largest borrower in the world's financial markets. Its decision was an indication that Warren E. Buffett, the Omaha investor who is...
- ...Will there be a double-dip recession?" asked Samuel D. Kahan, chief economist at Fuji Securities Inc. "No. We'lf have slow growth. But a persuasive case can be made for ...
- ...s level. The industry "needs an economic stimulus package, and we need it now," said Mark Tipton, president of the National Association of Home Builders.

Manufacturing Peters Out

For months, the...

... National Association of Purchasing Management, which surveys 300 corporate executives on the size of their order books , said its index fell last month to 50.1, from 53.5 in October. A...

2/3,K/8 (Item 1 from file: 476)

DIALOG(R) File 476: Financial Times Fulltext (c) 2007 Financial Times Ltd. All rts. reserv.

0007050026 BODLBCKAAFFT

Ferranti calls in receivers after GEC drops offer

PAUL TAYLOR

Financial Times, P 1

Thursday, December 2, 1993
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT Word Count: 460

...for bargain prices from the receivers - without having to assume Ferranti's debts.

The move marks the end of a three-year battle by Mr Eugene Anderson, Ferranti's chairman and...

...executive, to keep the company afloat.

He joined in 1990 after the discovery of a fraud at International Signal and Control, the group's US subsidiary, which Ferranti acquired in 1987...

...in the global defence market, with 26,000 employees in a dozen countries and an order book worth more than Pounds 1.5bn. Ferranti subsequently disclosed, however, that it had been the victim of massive fraud . Mr James Guerin, ISC's founder, who had become deputy chairman of Ferranti, is now serving a 15-year sentence for financial fraud and illegal arms sales.

and amendment instructions from the interface system. If...the per contract prices of each of the types of contracts in the member's **portfolio**. Other items are discretionary. Discretionary menu items include a list of links to updated information...his or her account to execute the trade prior to adding the order to the **order book**. If the member has insufficient funds, the Order Placement Application 3 1 0 will inform...

- ...will be required to confirm it, and the order will then be placed in the order book. After an order is placed in the order book, it will remain outstanding until the earliest of its execution, its cancellation by the member...by the resting contra order, then the remainder of the order will remain in the Order Book at its limit price and will execute against subsequently listed contra orders at its limit...
- ...system because they would be needed to execute orders resting on the market). Income from investment of these funds, as described in more detail below, will accrue to the benefit of market authority. Thus, the foregone investment return on these funds represents a cost to members of dealing 92 in the exchange...
- ... The member will also be informed that a modified order will be treated by the **order book** as a new order, causing the order to

ose its original time priority. Record Keepina...card" for the sake of illustration, in - 96 fact it can be a radio frequency tag, or any other type of indicator of identity including merely an account number to be entered on a keypad, or otherwise...

2/3,K/6 (Item 1 from file: 471)

DIALOG(R) File 471: New York Times Fulltext (c) 2007 The New York Times. All rts. reserv.

02401003 NYT Sequence Number: 066621920105 (USE FORMAT 7 FOR FULLTEXT)

Business Diary/December 29 - January 3

Joel Kurtzman

New York Times, Late Edition - Final ED, COL 3, P 2

Sunday January 5 1992

DOCUMENT TYPE: Newspaper; Summary LANGUAGE: English RECORD TYPE:

Fulltext SECTION HEADING: SECT3

Word Count: 1808

... thinks Mr. Bush is a valuable man to keep on board.

Signs of Recovery . . .

Fatter **order books** are a sign of better times. When the nation's factories crank up to produce...

...most reliable? Last week the Federal Reserve said the index sometimes sends "false signals."

Buying Stocks Again

One beneficiary of low interest rates has been the **stock** market. The Dow Jones industrial average surged to 3,201.48, its highest level ever...

...levels since Edsels roamed the roads. Those low rates have brought individuals back into the **stock** markets. "Where else can you put your

The fraud, coupled with the recession and declining defence spending, hit Ferranti hard...

2/3,K/9 (Item 1 from file: 553)
DIALOG(R)File 553:Wilson Bus. Abs.
(c) 2007 The HW Wilson Co. All rts. reserv.

04250021 H.W. WILSON RECORD NUMBER: BWBA00000021

Turmoil grows over fate of frozen Malaysian shares.

Pura, Raphael

Wall Street Tournal (Fastern Edition) (Rec. 31 1000)

Wall Street Journal (Eastern Edition) (Dec. 31 1999) p. A6+LANGUAGE: English

...ABSTRACT: shares that once traded in Singapore. While no agreement is in sight for repatriating the **securities** to the Kuala Lumpur **Stock** Exchange from Singapore's Central Limit **Order Book**, or CLOB, over-the-counter market, the affair is being watched closely by the international **securities** industry as an "indicator of Malaysia's treatment of foreign investors." The frozen Malaysian shares, owned by 172,000...

...limbo since September 1998, when Malaysia imposed capital controls and declared offshore trading in Malaysian **stocks illegal**. Relations between Singapore and Malaysia are under strain by the custody debate.

2/3,K/10 (Item 1 from file: 587)

DIALOG(R)File 587: Jane's Defense&Aerospace (c) 2007 Jane's Information Group. All rts. reserv.

10922684

Word Count: 1064

Irkut goes public in a cold climate

JANE'S DEFENCE WEEKLY (JDW) November 12, 2003 v.040 no. 019 Section Heading: BUSINESS

By: JIM SMITH JDW Special Correspondent\London

...military aircraft manufacturer Irkut Corp
plans an initial public offering (IPO) of 20% of its stock
simultaneously on the Russian Trading System (RTS) and the Moscow
Interbank Currency Exchange (MICEX) during the first quarter of
2004. Irkut plans to add a London Stock Exchange listing in 2005.

The deal will \mbox{mark} the largest-ever equity offering in the Russian market. The 20% stake, expected to net...

...Accounting Principles (GAAP). The company • initially contemplated issuing eurobonds or floating shares on the London **Stock** Exchange. However, with a less than **investment** -grade rating, a debt issue was postponed as borrowing costs would have been prohibitive.
While...

...11.5 million) on a turnover of Rb17.7 billion. The company has a strong **order book**, which includes a \$3 billion contract from India and a \$900 million order from Malaysia...

...to handle the equity issue.

17-Aug-07

The Irkut IPO comes at a critical time for Russian **financial** markets. Demand for Russian corporate debt has been high this year and Russian equities have...

...policies of the government.

Mikhail Khodorkovsky, chief executive officer of Yukos, has been charged with **fraud** and tax evasion. In the aftermath, credit rating agencies have reacted negatively by downgrading the...

...player in the world equities market. Russia has recently seen an influx of foreign direct **investment**, driving this year's **investment** total to more than what the country received between 1990 and 2002, which amounted to...

...IPO has been approved by shareholders, the timing of the issue and location of the **stock** exchange have yet to be determined.

2/3,K/11 (Item 2 from file: 587)
DIALOG(R)File 587:Jane's Defense&Aerospace
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10908263 Word Count:3766 RAN closes in on patrol boat replacement

JANE'S NAVY INTERNATIONAL (JNI) JUNE 01, 2002 v.107 no. 005 Section Heading: FEATURE By: Ian Bostock

...the 42m FCPBs have been active in recent years, responding to and intercepting vessels carrying illegal immigrants, with 3,000 such people intercepted in 2000 alone. Detection and interception of vessels...the construction of the RPBs would fall in behind existing work in an already full order book. Understandably, the competition for Sea 1444, with an estimated value of around A\$450 million...

...and response to enforce relevant Australian and international laws at sea, and respond to suspected **illegal** activities occurring within Australia's marine jurisdictional zones and on the high seas in latitudes...to 600 additional days at sea per year.

In addition to availability, a key performance indicator for the RPB will be mission readiness, which has been set at 98% each quarter...

...costs to modify the shore facilities will be taken into account in evaluating the total **investment** cost of such bids.

Interestingly, a recent RAN study into patrol boat requirements in general...aimed predominantly at providing the RPBs with the capacity to transport a limited number of **illegal** immigrants for short periods. These arrangements will be rudimentary, and both physically and environmentally separated...

2/3,K/12 (Item 1 from file: 609)

DIALOG(R) File 609: Bridge World Markets (c) 2001 Bridge. All rts. reserv.

01365503 BPGQGXT (USE FORMAT 7 FOR FULLTEXT)

UK Press Summary: Lion Nathan set to control Montana Wines (B)

BRIDGENEWS GLOBAL MARKETS

Saturday, February 10, 2001 07:17 GMT

JOURNAL CODE: MAR LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 1,301

...ORANGE DEFIES THE ODDS WITH 7-BILLION-EURO FLOAT

Orange's path back to the stock market was strewn with bad omens Friday as

other telecommunications shares tumbled on a gloomy...

Cinven, the private equity firm, closed the door Friday on its ill-fated

investment in sporting goods company Dunlop_Slazenger, when it handed the company over to its management...

... Hammond had no plans to

interview the billionaire brothers in the case.

TORIES SCRAP THEIR FLAGSHIP POLICY ON PENSIONS

William Hague performed a U-turn Friday night when the Conservatives scrapped the party's flagship policy to boost the incomes of Britain's 11 million pensioners.

JAPANESE POLICE BELIEVE DISMEMBERED...

... Hammond had no plans to

interview the billionaire brothers in the case.

TORIES SCRAP THEIR FLAGSHIP POLICY ON PENSIONS

William Hague performed a U-turn Friday night when the Conservatives scrapped the party's flagship policy to boost the incomes of Britain's 11 million pensioners.

JAPANESE POLICE BELIEVE DISMEMBERED...

(Item 1 from file: 619) 2/3, K/13

DIALOG(R) File 619: Asia Intelligence Wire

(c) 2007 Fin. Times Ltd. All rts. reserv.

09563730 Waap26375180 (USE FORMAT 7 FOR FULLTEXT)

AAP MARKETS REPORT FOR TUESDAY DEC =3 SYDNEY

AAP News

Tuesday, December 3, 2002

JOURNAL CODE: Waap LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1042

...conditions read 49.2 in November, below forecasts of 51.3 and below the 50 mark that divides between expansion and contraction. Stocks on Wall Street had surged at the open on strong retail sales after the Thanksgiving

...to hand as United Nations arms inspectors in Iraq hunted for a fifth day for illegal weapons, while the US military is primed to launch a war if Baghdad is caught...

2/3,K/14 (Item 1 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM) t

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05328590 Supplier Number: 89841197 (USE FORMAT 7 FOR FULLTEXT)

ELECTRONICS: An Emphasis on Security.

Industries In Transition, v30, n3, p0

July, 2002

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 851

... are covered in this report. Companies in this segment have experienced large increases in their **order books** since the terrorist attacks in the U.S. on September 11, 2001. Detection is also...is made of advanced technologies.

Electronic article surveillance (EAS) is typified by, for example, the **tag** that triggers an alarm when a shoplifter attempts to take a stolen article from a...

...EAS is of great interest to commercial security engineers and managers of retail outlets. The <code>tags</code> are being made to become more efficient with advanced technologies. The vogue now is for source <code>tagging</code>, a method which incorporates the <code>tags</code> during the production of the goods, so that when they are delivered to the store, they already carry their <code>tags</code> and will set off alarms if removed inappropriately from the store, either by internal staff or by external <code>fraudsters</code>.

Acoustic monitoring is an important security area, especially in the elimination of **fraud** in telecommunications and other voice- operated systems. It is a relatively new area that experienced...

...product segment that still has potential for growth in areas such as banking and other **financial** operations.

This solid growth forecast through 2006 is indicative of the current importance attached to electronic security, which provides the systems and tools for combating commercial **fraud**. In a normal commercial environment, **fraud** accounts for the loss of multibillions of dollars. Electronic security also provides systems for combating...

2/3,K/15 (Item 1 from file: 654)

DIALOG(R) File 654:US PAT. FULL.

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3901171 **IMAGE Available

Derwent Accession: 1997-526043

Utility

 $\mathbb{E}/$ Data processing system using versioned documents having temporary links

Inventor: Atsumi, Ryo, c/o Mitsui Engineering & Shipbuilding Co., Ltd. 6-4,

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Atsumi Ryo JP

Examiner: Black, Thomas G. (Art Unit: 237)

Assistant Examiner: Alam, Hosain T.

Law Firm: Oliff & Berridge

Publication Application Filing Number Kind Date Number Date

Main Patent	US 5680613	Α	19971021	US 935459	19930119
Priority				JP 9226272	19920117

Fulltext Word Count: 12255
Description of the Invention:

- ...1. Document control registers such as a standard ledger, an **order** ledger, and document catalogues for individual orders are prepared...
- ...variable and is changed at any moment, such as a delivery ledger, accounting books, an **order** book, a name list, or a bankbook...
- ...in its own individual records, and controls them, such as a document control register, a **stock** register, or an X-ray film register...
- ...2) After the original has been printed, the name of the organizational section, an issue mark with the date, and also, if necessary, a mark denoting the release/status are added. Any document without the aforesaid marks are considered to be an improper or illegal document...
- ...places. Distribution to places not determined and the distribution of documents having no formal issue **mark** are prohibited in principle...by plural fixed length storage areas connected by pointers. These data records have a record **mark** at the head of their storage area, and they are sequentially stored from the head...

2/3,K/16 (Item 1 from file: 696)
DIALOG(R)File 696:DIALOG Telecom. Newsletters
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00637868

China's satellite industry - Ready for Lift-off?

Telecommunications Development Report July 30, 1998 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PYRAMID RESEARCH

LANGUAGE: ENGLISH WORD COUNT: 4431 RECORD TYPE: FULLTEXT

(c) 1998 The Economist Intelligence Unit Limited

TEXT:

...defined regulations and a looming regional transponder glut in the midst of Asia's deepening **financial** crisis. Added to this is a spate of political troubles created by the U.S...equator.

Great Wall's basis for competition has been on price: Long March launch price tags are in the \$75 million to \$85 million range, comparable to a launch on a...the launch pad on 18 July.

Still, China's launch industry lacks the technological and **financial** resources needed to make rapid improvements in its launch capabilities to stay competitive. While China...

...keep up with the likes of Arianespace, with a launch nearly every month and an **order book** of backlogged clients over three years deep. Great Wall was hoping that Asia's demand...

...described as competitive, but they are proliferating and extending into niche service markets, such as **financial** network services. While China's domestic transponder consumption looks strong, the satellite sector's rapid

...China Orient Telecoms Satellite (China Orient) is a recent addition to the country's service **portfolio**, and perhaps China's first serious foray into the regional satellite services game. Not straying...

...Shanghai, was created in 1995 to develop a satellite service network to service China's **financial** services sector. SinoSat-1, a 24 C-band and 14 Ku-band satellite supplied by France's Aerospatiale, was placed in orbit on 18 July. Its target market, provisioning **financial** clearance services for Chinese banks and communications links for the nation's aircraft control industry, broadens the **portfolio** of China's service sector.

China's domestic voice, data and television broadcast transport continues ...and data

ChinaStar	24	10-12	Domestic and regional telecoms and broadcasting
SinoSat	24	14	Financial data, Air traffic control
AsiaSat	8		TV broadcasting, Data Communications
	9	2	TV broadcasting

APT...

...vie for traffic in the same footprint. China had hopes to recoup some of its <code>investment</code> in satellite infrastructure by dipping into the once-burgeoning market for capacity in Asia; ChinaStar...a year ago, and C-band rentals are averaging around \$1.5 million per year <code>mark</code>. China's significant <code>investment</code> in Ku- band capacity looks ill-timed in light of the slow growth foreseen for...

 \ldots betting that the millions plowed into the MSS industry will yield some return.

As the **financial** crisis deepens, what may be an already overbuilt satellite market may turn into a capacity...
...manufacturers to China's launch pads searching for cheap lifts into orbit. Now, allegations of **illegal** transfers of technology with military